To:

Box Patent Application

Assistant Commissioner for Patents

Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL – UTILITY

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Transmitted herewith for filing is a utility patent application:

Inventor(s): Yue-Teh JANG, Ross S. Tsugita, Bruce S. Addis, Tracy D. Maahs, and

Jean C. Chang

Title: ENDOLUMINAL OCCLUSION-IRRIGATION CATHETER WITH

		ASPIRATION CAPABILITIES AND METHODS OF USE					
[.	PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):						
	<u>13</u>	Page(s) of Written Description					
	<u>11</u>	Page(s) Claims					
	<u>1</u>	Page(s) Abstract					
	<u>10</u>	Sheets of Drawings Informal Formal					
II.	ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:						
,	\boxtimes	Declaration					
	\boxtimes	Power of Attorney Separate Combined with Declaration					
	Assignment to EMBOL-X, Inc. and assignment cover sheet						
	Verified Statement establishing "Small Entity" under 37 CFR §§ 1.9 and 1.27						
		Priority Document No(s):					
	\boxtimes	Information Disclosure Statement w/PTO 1449 Copy of Citations Preliminary Amendment Return Postcard					
	\boxtimes	Preliminary Amendment Return Postcard					
	\boxtimes	Return Postcard S 5					

CERTIFICATE OF MAILING (37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' (Label No. EL508736143US) in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

December	22	1000
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// Cynthia B. Pacheco

III. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

BASIC FILING FEE:							\$760.00	
Total Claims	24	_	20	=	4	х	\$18.00	\$72.00
Independent Claims	6	_	3	=	3	X	\$78.00	\$234.00
Multiple Dependent Claims	\$260	(if	applic	able	e)			\$0.00
TOTAL OF ABOVE CALCULATIONS						\$1,066.00		
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.					\$533.00			
Misc. Filing Fees (Recordation of Assignment — \$40)					\$0.00			
TOTAL FEES DUE HEREWITH					\$533.00			

IV.	METHOD	OF PAYMENT	OF FEES
. v .	1411271111717		

- Charge Lyon & Lyon's Deposit Account No. 12–2475 in the amount of \$533.00. A duplicate copy of this document is attached.
- This application is being filed without fee or Declaration under 37 CFR § 1.53.

V. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. 12–2475 for the following:

- 37 CFR § 1.16 (Filing fees and excess claims fees)
- **⊠** 37 CFR § 1.17 (Any application processing fees)
- **⊠** 37 CFR § 1.21 (Assignment recording fees)

VI. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



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Please direct all inquiries to John Kappos, at (949) 567-2300.

Respectfully submitted,

LYON & LYON LLP

Dated: December 22, 1999

By: John Kappos, Reg. No. 37,861

Attorneys for Applicant